

08-02-2006

Neifeld Ref: HENN0013UPCT-US

37 CFR 1.331



103285253

SHEET



7-28-06

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Frank PUTTKAMMER

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: 7/3/2006

2. Name and address of receiving party(ies)

Name: WHD Electronische Prueftechnik

Internal Address: _____

Street Address: Industriestrasse 19

D-01129 Dresden GERMANY

City: _____ State: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/485,750

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Neifeld IP Law, PC

Internal Address: 4813-B Eisenhower Avenue
Alexandria, Virginia 22304

Street Address: Same as Above

City: _____ State: _____ Zip: _____

Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Enclosed☐ Authorized to be charge to deposit account

8. Deposit account number: 50-2106

(Attach a duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard A. Neifeld

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments, Washington, D.C. 20231

08/01/2006 NJANA1 00000063 09485750

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 12, 2006

PTAS

NEIFELD IP LAW, PC
4813-B EISENHOWER AVENUE
ALEXANDRIA, VIRGINIA 22304



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/28/2006

REEL/FRAME: 018095/0582
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PUTTKAMMER, FRANK

DOC DATE: 07/03/2006

ASSIGNEE:

WHD ELECTRONISCHE PRUEFTECHNIK
INDUSTRIESTRASSE 19
D-01129 DRESDEN, GERMANY

SERIAL NUMBER: 09485750

FILING DATE: 02/14/2000

PATENT NUMBER:

ISSUE DATE:

TITLE: SECURITY ELEMENT STRUCTURE FOR DOCUMENTS, DEVICES FOR CONTROLLING DOCUMENTS COMPRISING SUCH SECURITY ELEMENTS, AND METHOD FOR USING SAID SECURITY ELEMENTS AND DEVICES

018095/0582 PAGE 2

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

Neifeld Ref: HENN0013UPCT-US

37 CFR 3.24 ASSIGNMENT OF APPLICATION

WHEREAS, I/WE Frank PUTTKAMMER

respectively, have invented new and useful improvements in: _____

Security element structure for documents, devices for controlling documents comprising such security elements,

and method for using said security elements and devices

for which an application for Letters Patent was executed on _____

(Application No. 09/485,750, filed February 14, 2000), and

WHEREAS, WHD ELECTRONISCHE PRUEFTECHNIK (hereinafter

referred to as "ASSIGNEE" having a place of business at: Industriestrasse 19, D-01129 Dresden,

GERMANY

is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I/WE, the applicant(s), by these presents do sell, assign and transfer unto said ASSIGNEE the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

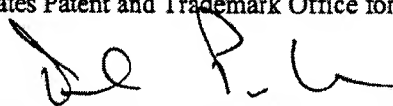
Neifeld Ref: HENN0013UPCT-US

I/WE hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

Further, I/We agree that I/We communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grants(s) the firm of Neifeld IP Law, P.C. the power to insert on this assignment any further identification, including firm reference number, application number, filing date, and execution date, and any other information which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: 03.29.2006


(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Printed: March 30, 2005 (3:42pm)

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Von :

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CERTIFICATION UNDER 37 CFR 3.73(b)

I, the undersigned, certify:

(1) that I am an individual empowered to act on behalf of the assignee of the entire right, title, and interest in this application;

(2) that the assignee is: WHD ELECTRONISCHE PRUEFTECHNIK;

(3) and that assignment(s) from the inventor(s) to the assignee is/are recorded in the assignment branch of the United States Patent and Trademark Office at reel/frame numbers: 018095/0582; and

(4) that I have reviewed the foregoing assignments of this application, and I know that ownership of this application is vested in the assignee.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 11.12.2006Signature: 

Printed Name: Ulrich Müller-Taufertshöfer

Title: Finance and Administration

Printed: December 7, 2006 (4:12pm)


elektronische Prüftechnik GmbH
Scharfenborger Str. 151 • D-01189 Dresden
Tel. (03 51) 8 49 15 55 • Fax (03 51) 8 49 15 96

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N0013UPCT-US_061207.wpd

NEIFELD REF.: EFFE0001

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

37 CFR 1.36 REVOCATION AND NEW POWER OF ATTORNEY

Regarding the following applications:	Neifeld ref:	
✓ 08/894,766 - not publicly available.	Neifeld ref:	<u>HENN0010UPCT-US</u>
✓ 09/931,694 - abandoned; published as US 2001-0054901 A1		<u>HENN0021U-US</u>
✓ 09/485,734 - not publicly available.	Neifeld ref:	<u>HENN0012UPCT-US</u>
✓ 09/423,275 - abandoned; not published.	Neifeld ref:	<u>HENN0015UPCT-US</u>
✓ 10/619,038 - Abandoned; published as US 2004-0012773 A1		<u>HENN0015U-US1</u>
✓ 09/485,750 - not publicly available.	Neifeld ref:	<u>HENN0013UPCT-US</u>
✓ 09/719,881 - not publicly available.	Neifeld ref:	<u>HENN0014UPCT-US</u>
✓ 10/110,600 - not publicly available.	Neifeld ref:	<u>HENN0017UPCT-US</u>
✓ 10/469,795 - Abandoned; published as US 2004-0101664 A1		<u>HENN0019UPCT-US</u>
✓ 10/469,652 - Abandoned; published as US 2004-0096648 A1		<u>HENN0020UPCT-US</u>
✓ 10/469,794 - Abandoned; published as US 2004-0105957 A1		<u>HENN0018UPCT-US</u>

ASSISTANT COMMISSIONER FOR PATENTS

ALEXANDRIA, VA 22313

Sir:

I hereby revoke all prior powers of attorney and grant power of attorney to the attorneys associated with Neifeld IP Law, PC 's customer number 31518 in this application. I request that all correspondence be sent to the firm of NEIFELD IP LAW, P.C. whose post office address is: 4813-B Eisenhower Avenue, Alexandria, Virginia 22304, whose PTO address code is 31518.

CERTIFICATION UNDER 37 C.F.R. 3.73(b)

I, the undersigned, certify that I am an individual empowered to act on behalf of WHD elektronische Prueftechnik GmbH, Industriestrasse 19, 01129 Dresden, Germany, the legal

assignee of the entire right, title and interest of the above-identified application by virtue of assignments from the inventors recorded at the following reel/frame numbers:

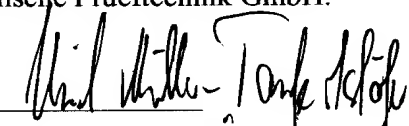
I have reviewed the assignment documents, and I know that ownership of these applications is vested in WHD elektronische Prueftechnik GmbH.


I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

I authorize Neifeld IP Law, PC to fill in their attorney docket numbers after I execute this form, to further identify the applications.

I am authorized to act on behalf of WHD elektronische Prueftechnik GmbH.

Date: 07. 12. 05

Signature: 
Printed Name: ULRICH MÜLLER-TAUERTSHOFER
Title: GENERAL MANAGER


elektronische Prüftechnik GmbH
Scharfener Str. 151 • D - 01139 Dresden
Tel. (03 51) 8 49 15 75 • Fax (03 51) 8 49 15 96

Printed: November 22, 2005 (5:49pm)

Y:\Clients\Z - PRECLIENT\WHD elektronische Prueftechnik
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